

08/20/01
j1059 U.S. PTO

Docket No. 1232-4412US1

jc872 U.S. PTO
09/931771
08/20/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

#3
Pre
Amdt A
F-JONES
11-2-01

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- | | |
|------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| (1) Kazutaka YANAGITA
8-8-302, Mori 1-chome, Isogo-ku,
Yokohama-shi, Kanagawa-ken, JAPAN | (2) Kiyofumi SAKAGUCHI
19-2-504, Edahigashi 2-chome, Tsuzuki-ku,
Yokohama-shi, Kanagawa-ken, JAPAN |
|------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|

☐ Additional inventors are listed on a separate sheet

For: **WAFER PROCESSING APPARATUS AND METHOD, WAFER CONVEY ROBOT,
SEMICONDUCTOR SUBSTRATE FABRICATION METHOD, AND SEMICONDUCTOR
FABRICATION APPARATUS**

Enclosed Are:

37 page(s) of specification
1 page(s) of Abstract
12 page(s) of claims
12 sheets of ☒ Formal ☐ Informal drawings

5 page(s) of Declaration and Power of Attorney

- ☐ Unsigned
☐ Newly Executed
☒ Copy from prior application
☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☒ **Incorporation by Reference:**

- ☒ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

- ☐ Microfiche Computer Program (Appendix)
- ☐ page(s) of Sequence Listing
☐ computer readable disk containing Sequence Listing
☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment
☐ Charge the Assignment Recordation Fee to Deposit Account No. 13-4503, Order No. . . .
- ☒ Assignment Papers filed in the parent application Serial No. 09/015,582
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b)
- ☒ Priority is claimed under 35 U.S.C. § 119 for:
Application No(s). 9-021796, filed 2/4/97, in Japan (country); and Application No(s). 9-030887,
filed 2/14/97 in Japan (country).
- ☒ Certified Copy of Priority Document(s) []
☐ filed herewith
☒ filed in application Serial No. 09/015,582, filed 1/29/98.
- ☐ English translation document(s) []
☐ filed herewith
☐ filed in application Serial No. _____, filed _____.
- ☐ Priority is claimed under 35 U.S.C. § 119(e) for:
Provisional Application No. _____, filed _____.
- ☐ Priority is claimed under 35 U.S.C. § 120 for:
Application No(s). _____, filed _____, in _____.
- ☐ Information Disclosure Statement
- ☐ Copy of [] cited references
☐ PTO Form-1449
☐ References cited in parent application Serial No. _____, filed _____.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
-
- a'* ☒ This is a ☐ continuation ☒ divisional ☐ continuation-in-part of prior application serial no.
09/015,582, filed 1/29/98.
- ☒ Cancel in this application original claims 1-42 and 49 of the parent application before
calculating the filing fee. (At least one original independent claim must be retained for
filing purposes.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been
properly numbered consecutively beginning with the number following the highest
numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:

- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until ____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- ☒ Amend the specification by inserting before the first line the sentence:
This is a continuation of co-pending application Serial No. 09/015,582, filed 1/29/98.

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$710.00/355.00
Total Claims	6- 20 =	0	\$18.00/\$9.00	\$ 0
Independent Claims	6- 3 =	3x	\$80.00/\$40.00	\$ 240.00
<input type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$260.00 (\$130.00)		\$
TOTAL:				\$ 950.00

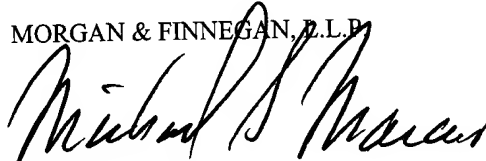
- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. §1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$_____.
- ☐ A check in the amount of \$ _____ in payment of the application filing fees is attached.
- ☒ Charge fee to Deposit Account No. 13-4503 Order No. 1232-4412US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.



The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency with the parent application, or credit any overpayment to Deposit Account No. 13-4503 Order No. 1232-4412US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.



By:

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Dated: August 20, 2001

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PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

08/21/2001 STEUMEL1 00000043 134503 09931771

01 FC:101	710.00 CH
02 FC:102	240.00 CH

PTO-1556
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